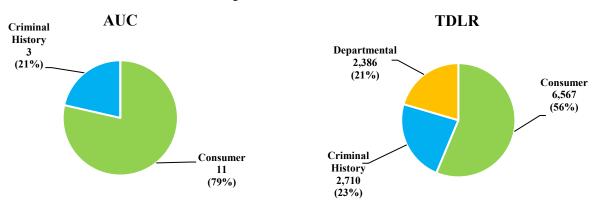
TEXAS DEPARTMENT OF LICENSING & REGULATION | ENFORCEMENT DIVISION

Auctioneers (AUC)

Key Statistics	AUC Fiscal Year 2022	TDLR Fiscal Year 2022
Total Licensees	2,230	890,735
Complaints Received by Source	234	64,954
Consumer complaints	83	14,098
Department initiated complaints	0	2,368
Criminal History Records reviewed for licensure	151	48,488
Cases Opened	14	11,663
Consumer	11	6,567
Departmental	0	2,386
Criminal History	3	2,710
Cases Closed	11	11,754
Average Time to Close (days)	304.64	215.79
% of Cases Resolved Within 6 Months	45.45%	57.64%
% of Cases Resulting in Disciplinary Action	0.00%	10.81%
Open Cases Pending at End of Fiscal Year	18	6,540
Cases Referred to the State Office of Administrative		61
Hearings (SOAH)	0	01
Contested Cases Heard at SOAH	0	30
Formal Case Outcomes	AUC Fiscal Year 2022	TDLR Fiscal Year 2022
Final Orders	0	1,160
Commission Orders	0	27
Default Orders	0	384
Agreed Orders	0	749
Average Penalty Assessed on Orders	\$0.00	\$2,231.30
Total Penalties Assessed	\$0.00	\$2,588,310.00
Licenses Revoked (Disciplinary)	0	50
Licenses Suspended (Disciplinary)	0	4
Licenses Denied/Suspended/Revoked (Criminal History)	0	182
Cases Heard in District Court	0	7
Informal Case Actions	AUC Fiscal Year 2022	TDLR Fiscal Year 2022
Consumer Restitution	\$0.00	\$390,011.62
Warning Letters	1	3,378
Other Informal Resolutions	10	7,108
Cease & Desist Orders	0	17

Cases Opened Fiscal Year 2022



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Auctioneers February 9, 2023

AUC Alleged Violations at Opening

Fiscal Year 2022		
Alleged Violation at Opening	Count	
Flagrant misrepresentation or false promises	5	
Act as Auctioneer or Associate Auctioneer without license	4	
Criminal history	3	
No name and TDLR number in advertisement	2	
Was Auctioneer for Company not owned or operated by Licensee	1	
Did not announce or disclose Auctioneer license	1	
Did not announce or reserved right to bid	1	

AUC Violations Resulting in Disciplinary Action

Fiscal Year 2022		
Violation Resulting in Disciplinary Action	Count	
There were no violations resulting in Disciplinary Action for Fiscal Year 2022 for the AUC program	N/A	

Page **2** of **2**Auctioneers February 9, 2023